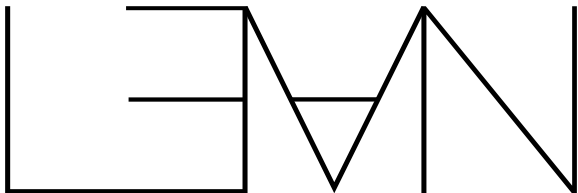


Programming and Proving in Lean

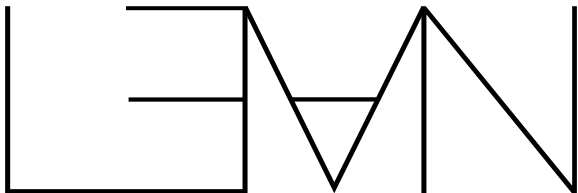
David Thrane Christiansen
Lean Focused Research Organization, LLC

November 28, 2023

LENN



Interactive
theorem prover



Interactive
theorem prover

Programming
language

Goals

After these three sessions, you'll be able to:

- ▶ Get started using Lean for programming and/or proving
- ▶ Contextualize Lean in the landscape of related systems
- ▶ Know where to look for more information
- ▶ Have an idea of whether Lean is relevant for your work

Background Assumptions

Functional
programming

Monads

Informal proofs

Background Assumptions

Functional
programming

Monads

Informal proofs

You don't need to be a type theory expert!

About Me

- ▶ PhD, ITU, 2015 (advised by Peter Sestoft)
- ▶ Second-most commits on Idris 1
- ▶ Postdoc, Indiana University, 2016–2017
- ▶ Industrial experience at Galois, Deon Digital
- ▶ ED of Haskell Foundation, 2022–2023
- ▶ Author:
 - ▶ *The Little Typer* (with Dan Friedman), 2018, MIT Press
 - ▶ *Functional Programming in Lean*, 2023, Microsoft Research (free online)
- ▶ Working full-time on Lean at the FRO

The Lean FRO

LEAN

The Lean FRO

LEAN



Self-
Sustainability

The Lean FRO

LEAN



Self-
Sustainability

The Lean FRO is made possible by the generous philanthropic support of the Simons Foundation International, the Alfred P. Sloan Foundation, and Richard Merkin, along with operational support and stewardship by Convergent Research.

Outline

Overview - 14/11

Programming and Metaprogramming - 21/11

Foundations and Proofs - 28/11

Outline

Overview - 14/11

Syntax

UI

Programs and Proofs

Type Classes

Monads

Do-Notation

Dependent Types

Programming and Metaprogramming - 21/11

Foundations and Proofs - 28/11

Outline

Overview - 14/11

Syntax

UI

Programs and Proofs

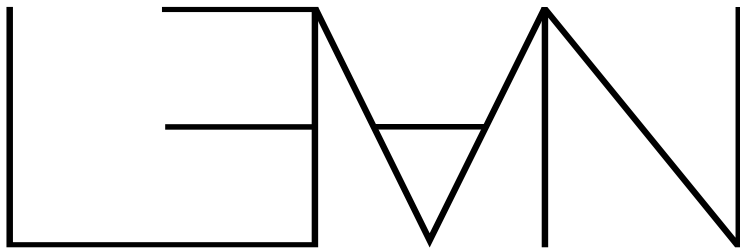
Type Classes

Monads

Do-Notation

Dependent Types

Demo: Demo!



SyntaxIntro.lean

Field Notation

```
#eval List.length [14, 11, 23]  
-- 3
```

```
#eval [14, 11, 23].length  
-- 3
```

```
#eval List.map (fun x => x + 1) [1, 2, 3]  
-- [2, 3, 4]
```

```
#eval [1, 2, 3].map (fun x => x + 1)  
-- [2, 3, 4]
```


Field Notation

```
#eval List.length [14, 11, 23]
-- 3
```

```
#eval [14, 11, 23].length
-- 3
```

Type of argument before dot: List Nat $\Rightarrow x + 1$) [1, 2, 3]

```
#eval [1, 2, 3].map (fun x => x + 1)
-- [2, 3, 4]
```

Field Notation

```
#eval List.length [14, 11, 23]
-- 3
```

```
#eval [14, 11, 23].length
-- 3
```

This call becomes List.length

Type of argument before dot: List Nat $\Rightarrow x + 1$) [1, 2, 3]

```
#eval [1, 2, 3].map (fun x => x + 1)
-- [2, 3, 4]
```

Field Notation

```
#eval List.length [14, 11, 23]  
-- 3
```

```
#eval [14, 11, 23].length  
-- 3
```

```
#eval List.map (fun x => x + 1) [1, 2, 3]  
-- [2, 3, 4]
```

```
#eval [1, 2, 3].map (fun x => x + 1)  
-- [2, 3, 4]
```

Field Notation

```
#eval List.length [14, 11, 23]  
-- 3
```

```
#eval [14, 11, 23].length  
-- 3
```

```
#eval List.map (fun x => x + 1) [1, 2, 3]  
-- [2, 3, 4]
```

```
#eval [1, 2, 3].map (fun x => x + 1)  
-- [2, 3, 4]
```

Type of argument
before dot: List Nat

Field Notation

```
#eval List.length [14, 11, 23]
-- 3
```

```
#eval [14, 11, 23].length
-- 3
```

```
#eval List.map (fun x => x + 1) [1, 2, 3]
-- [2, 3, 4]
```

```
#eval [1, 2, 3].map (fun x => x + 1)
-- [2, 3, 4]
```

This call becomes
List.map

Type of argument
before dot: List Nat

Field Notation

```
#eval List.length [14, 11, 23]  
-- 3
```

```
#eval [14, 11, 23].length  
-- 3
```

```
#eval List.map (fun x => x + 1) [1, 2, 3]  
-- [2, 3, 4]
```

```
#eval [1, 2, 3].map (fun x => x + 1)  
-- [2, 3, 4]
```

This call becomes
List.map

Argument before dot
placed in first
type-correct position

Outline

Overview - 14/11

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UI

Programs and Proofs

Type Classes

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Do-Notation

Dependent Types

The Infoview

☰ Lean Infoview ✕

▼ Examples.lean:14:17 📌 ⏸ 🔄

▼ Expected type “ ↓ 🔍

α : Type u_1
x : α
xs : List α
⊢ List α

▼ All Messages (2) ⏸

▼ Examples.lean:10:4 📄 “ 🏠

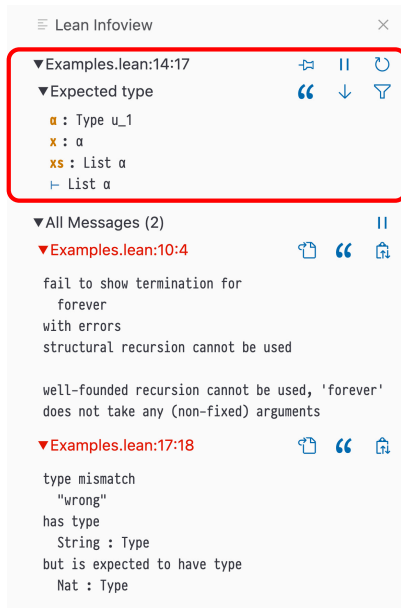
fail to show termination for
 forever
with errors
structural recursion cannot be used

well-founded recursion cannot be used, 'forever'
does not take any (non-fixed) arguments

▼ Examples.lean:17:18 📄 “ 🏠

type mismatch
 "wrong"
has type
 String : Type
but is expected to have type
 Nat : Type

The Infoview



The screenshot shows the 'Lean Infoview' window. At the top, there is a title bar with a hamburger menu icon, the text 'Lean Infoview', and a close button. Below the title bar, there is a list of messages. The first message is expanded and highlighted with a red box. It shows the following details:

- ▼ Examples.lean:14:17 (with icons for bookmark, pause, and refresh)
- ▼ Expected type (with icons for quote, down arrow, and filter)
- α : Type u_1
- x : α
- xs : List α
- └ List α

Below this, there is a section for 'All Messages (2)'. The first message in this section is expanded and shows the following text:

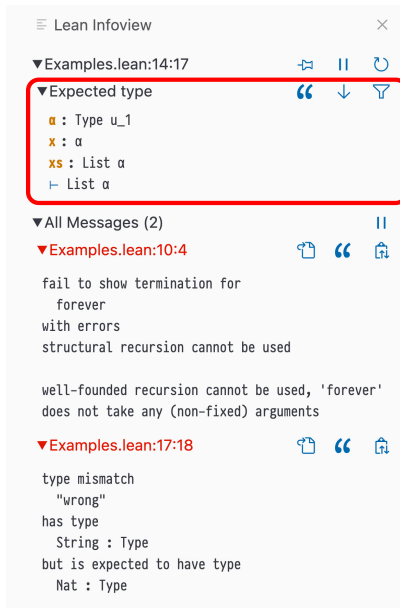
- ▼ Examples.lean:10:4 (with icons for copy, quote, and home)
- fail to show termination for
forever
with errors
structural recursion cannot be used
- well-founded recursion cannot be used, 'forever'
does not take any (non-fixed) arguments

The second message in the 'All Messages (2)' section is also expanded and shows the following text:

- ▼ Examples.lean:17:18 (with icons for copy, quote, and home)
- type mismatch
"wrong"
has type
String : Type
but is expected to have type
Nat : Type

Information
about cursor
position

The Infoview



Lean Infoview

▼ Examples.lean:14:17

▼ Expected type

- α : Type u_1
- x : α
- xs : List α
- \vdash List α

▼ All Messages (2)

▼ Examples.lean:10:4

```
fail to show termination for
  forever
with errors
structural recursion cannot be used

well-founded recursion cannot be used, 'forever'
does not take any (non-fixed) arguments
```

▼ Examples.lean:17:18

```
type mismatch
  "wrong"
has type
  String : Type
but is expected to have type
  Nat : Type
```

Local context
and current type

The Infoview

Lean Infoview

▼ Examples.lean:14:17

▼ Expected type

- α : Type u_1
- x : α
- xs : List α
- └ List α

▼ All Messages (2)

▼ Examples.lean:10:4

fail to show termination for
forever
with errors
structural recursion cannot be used

well-founded recursion cannot be used, 'forever'
does not take any (non-fixed) arguments

▼ Examples.lean:17:18

type mismatch
"wrong"
has type
String : Type
but is expected to have type
Nat : Type

Other errors,
warnings, and
information

The Infoview

Lean Infoview

▼ Examples.lean:14:17

▼ Expected type

- α : Type u_1
- x : α
- xs : List α
- ├ List α

▼ All Messages (2)

▼ Examples.lean:10:4

fail to show termination for
forever
with errors
structural recursion cannot be used

well-founded recursion cannot be used, 'forever'
does not take any (non-fixed) arguments

▼ Examples.lean:17:18

type mismatch
"wrong"
has type
String : Type
but is expected to have type
Nat : Type

Jump to location
in source

The Infoview

Lean Infoview

▼ Examples.lean:14:17

▼ Expected type

- α : Type u_1
- x : α
- xs : List α
- ↳ List α

▼ All Messages (2)

▼ Examples.lean:10:4

```
fail to show termination for
  forever
with errors
structural recursion cannot be used

well-founded recursion cannot be used, 'forever'
does not take any (non-fixed) arguments
```

▼ Examples.lean:17:18

```
type mismatch
  "wrong"
has type
  String : Type
but is expected to have type
  Nat : Type
```

Jump to location
in source

Copy message
to clipboard

The Infoview

Lean Infoview

▼ Examples.lean:14:17

▼ Expected type

- α : Type u_1
- x : α
- xs : List α
- ├ List α

▼ All Messages (2)

▼ Examples.lean:10:4

fail to show termination for
forever
with errors
structural recursion cannot be used

well-founded recursion cannot be used, 'forever'
does not take any (non-fixed) arguments

▼ Examples.lean:17:18

type mismatch
"wrong"
has type
String : Type
but is expected to have type
Nat : Type

The screenshot shows the Lean Infoview interface. It features a list of messages with icons for actions like jumping to source, copying, and inserting as comments. Three icons (document, quote, and house) are highlighted with red boxes and callouts.

Jump to location
in source

Copy message
to clipboard

Insert message
contents as
comment in
source

The Infoview

☰ Lean Infoview ✕

▼ Examples.lean:14:17 📌 ⏸ 🔄

▼ Expected type “ ↓ 🔍

α : Type u_1
x : α
xs : List α
┆ List α

▼ All Messages (2) ⏸

▼ Examples.lean:10:4 📄 “ 🏠

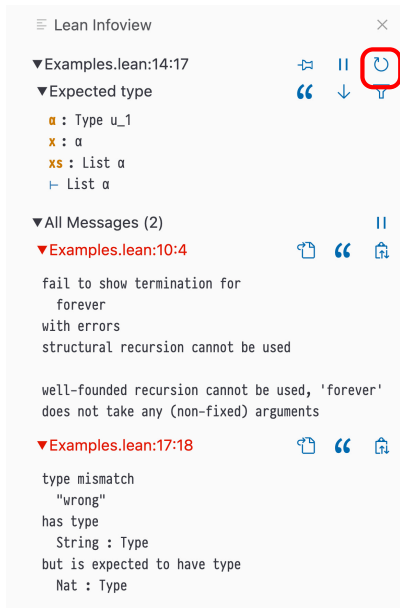
fail to show termination for
 forever
with errors
structural recursion cannot be used

well-founded recursion cannot be used, 'forever'
does not take any (non-fixed) arguments

▼ Examples.lean:17:18 📄 “ 🏠

type mismatch
 "wrong"
has type
 String : Type
but is expected to have type
 Nat : Type

The Infoview



Lean Infoview

▼ Examples.lean:14:17

▼ Expected type

- α : Type u_1
- x : α
- xs : List α
- ├ List α

▼ All Messages (2)

▼ Examples.lean:10:4

```
fail to show termination for
  forever
with errors
structural recursion cannot be used

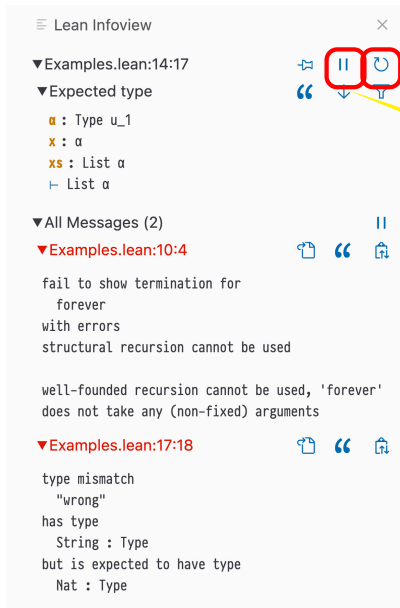
well-founded recursion cannot be used, 'forever'
does not take any (non-fixed) arguments
```

▼ Examples.lean:17:18

```
type mismatch
  "wrong"
has type
  String : Type
but is expected to have type
  Nat : Type
```

Manually refresh

The Infoview



The screenshot shows the Lean Infoview interface. At the top, there is a title bar with a hamburger menu icon, the text "Lean Infoview", and a close button "X". Below the title bar, there are three sections of error messages, each with a collapse icon (a downward-pointing triangle) and a refresh icon (a circular arrow). The first section is titled "Examples.lean:14:17" and shows the expected type for variables α , x , and xs . The second section is titled "Examples.lean:10:4" and shows a failure to show termination for a function named "forever". The third section is titled "Examples.lean:17:18" and shows a type mismatch for the string "wrong".

```
Lean Infoview X
▼ Examples.lean:14:17
  ▼ Expected type
    α : Type u_1
    x : α
    xs : List α
    ⊢ List α

▼ All Messages (2)
  ▼ Examples.lean:10:4
    fail to show termination for
      forever
    with errors
    structural recursion cannot be used

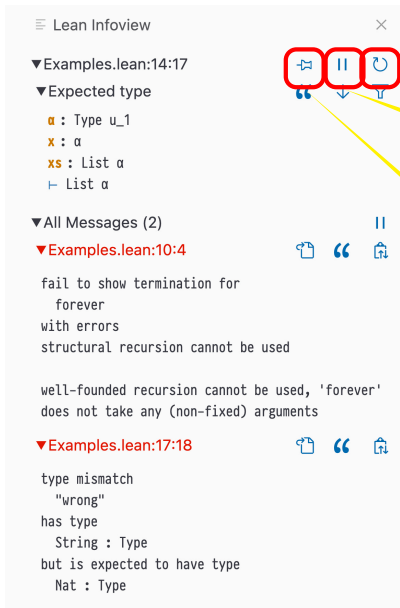
    well-founded recursion cannot be used, 'forever'
    does not take any (non-fixed) arguments

  ▼ Examples.lean:17:18
    type mismatch
      "wrong"
    has type
      String : Type
    but is expected to have type
      Nat : Type
```

Manually refresh

Stop
automatically
updating in
response to file
changes

The Infoview



The screenshot shows the Lean Infoview interface. At the top, there is a title bar with a hamburger menu icon, the text "Lean Infoview", and a close button "X". Below the title bar, there are two sections of error messages. The first section is titled "Examples.lean:14:17" and "Expected type", showing type information for variables α , x , and xs . The second section is titled "All Messages (2)" and "Examples.lean:10:4", showing a message about structural recursion. The third section is titled "Examples.lean:17:18" and shows a type mismatch error. To the right of the error messages, there are three icons: a pin icon, a pause icon, and a refresh icon. These icons are highlighted with red circles. Below the icons, there are three yellow callout boxes with arrows pointing to the icons. The first callout box points to the refresh icon and contains the text "Manually refresh". The second callout box points to the pause icon and contains the text "Stop automatically updating in response to file changes". The third callout box points to the pin icon and contains the text "Pin this information, retaining it when the cursor is moved".

```
Lean Infoview X
```

▼ Examples.lean:14:17

▼ Expected type

- α : Type u_1
- x : α
- xs : List α
- ┆ List α

▼ All Messages (2) II

▼ Examples.lean:10:4

fail to show termination for
forever
with errors
structural recursion cannot be used

well-founded recursion cannot be used, 'forever'
does not take any (non-fixed) arguments

▼ Examples.lean:17:18

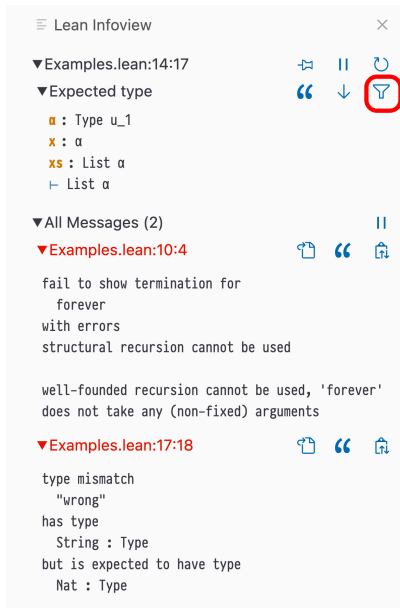
type mismatch
"wrong"
has type
String : Type
but is expected to have type
Nat : Type

Manually refresh

Stop
automatically
updating in
response to file
changes

"Pin" this
information,
retaining it when
the cursor is
moved

The Infoview



Lean Infoview

▼ Examples.lean:14:17

▼ Expected type

- α : Type u_1
- x : α
- xs : List α
- ┆ List α

▼ All Messages (2)

▼ Examples.lean:10:4

```
fail to show termination for
  forever
with errors
structural recursion cannot be used

well-founded recursion cannot be used, 'forever'
does not take any (non-fixed) arguments
```

▼ Examples.lean:17:18

```
type mismatch
  "wrong"
has type
  String : Type
but is expected to have type
  Nat : Type
```

Filter hypotheses
(e.g. hiding
types)

The Infoview

Lean Infoview

▼ Examples.lean:14:17

▼ Expected type

```
α : Type u_1
x : α
xs : List α
├ List α
```

▼ All Messages (2)

▼ Examples.lean:10:4

```
fail to show termination for
  forever
with errors
structural recursion cannot be used
```

well-founded recursion cannot be used, 'forever' does not take any (non-fixed) arguments

▼ Examples.lean:17:18

```
type mismatch
  "wrong"
has type
  String : Type
but is expected to have type
  Nat : Type
```

The screenshot shows the Lean Infoview interface. It displays a list of code snippets and messages. The 'Expected type' section shows a list of variables and their types. The 'All Messages' section shows two messages, one of which is expanded to show a type mismatch error. The interface includes several interactive icons: a filter icon (a funnel), a reverse order icon (a downward arrow), and a refresh icon (a circular arrow). The filter and reverse order icons are highlighted with red circles in the image.

Filter hypotheses (e.g. hiding types)

Reverse the order of variables and expected type

Breadcrumbs

```
Namespaces.lean Namespaces.lean/{ } A/{ } B/{ } C/ swap
1
2 namespace A
3 namespace B
4 namespace C
5
    ⋮
36
37 def swap :  $\alpha \times \beta \rightarrow \beta \times \alpha$ 
38 | (x, y) => (y, x)
39
    ⋮
55 end C
56 end B
57 end A
```

Breadcrumbs show you where you are — idiomatic Lean style assumes their presence and doesn't indent

Outline

Overview - 14/11

Syntax

UI

Programs and Proofs

Type Classes

Monads

Do-Notation

Dependent Types

Programs and Proofs

```
def third (arr : Array Nat) : Nat := arr[2]
```

Programs and Proofs

```
def third (arr : Array Nat) : Nat := arr[2]
```

failed to prove index is valid, possible solutions:

- Use `have`-expressions to prove the index is valid
- Use `a[i]!` notation instead, runtime check is performed, and 'Panic' error message is produced if index is not valid
- Use `a[i]?` notation instead, result is an `Option` type
- Use `a[i]!h` notation instead, where `h` is a proof that index is valid

```
arr : Array Nat
```

```
⊢ 2 < Array.size arr
```


Programs and Proofs

```
def third (arr : Array Nat) : Nat := arr[2]
```

Lean requires a proof of bounds-safety by default

failed to prove index is valid

- Use `have`-expressions to prove the index is valid
- Use `a[i]!` notation instead, runtime check is performed, and 'Panic' error message is produced if index is not valid
- Use `a[i]?` notation instead, result is an `Option` type
- Use `a[i]!h` notation instead, where `h` is a proof that index is valid

```
arr : Array Nat
```

```
⊢ 2 < Array.size arr
```

Propositions as Types

```
fun x => (x, x) : String → String × String
```

```
List.map toString : List Int → List String
```

```
[-1, 2, 5, -22] : List Int
```

Propositions as Types

```
fun x => (x, x) : String → String × String
```

```
List.map toString : List Int → List String
```

```
[-1, 2, 5, -22] : List Int
```

```
??? : 2 < arr.size
```

```
??? : 2 + 2 = 4
```

```
??? : ∀ xs, xs.reverse.reverse = xs
```

```
??? : arr.size > 2 → arr.size/2 ≥ 0
```

Evidence

$A \wedge B$ And.intro : $a \rightarrow b \rightarrow a \wedge b$ Evidence of both A
and B

Evidence

$A \wedge B$ And.intro : $a \rightarrow b \rightarrow a \wedge b$ Evidence of both A
and B

$A \vee B$ Or.inl : $a \rightarrow a \vee b$ Either evidence of A
Or.inr : $b \rightarrow a \vee b$ or evidence of B

Evidence

$A \wedge B$	And.intro : $a \rightarrow b \rightarrow a \wedge b$	Evidence of both A and B
$A \vee B$	Or.inl : $a \rightarrow a \vee b$ Or.inr : $b \rightarrow a \vee b$	Either evidence of A or evidence of B
$A \rightarrow B$	fun (h : A) => (... : B)	Given A , produce evidence of B

Evidence

$A \wedge B$	And.intro : $a \rightarrow b \rightarrow a \wedge b$	Evidence of both A and B
$A \vee B$	Or.inl : $a \rightarrow a \vee b$ Or.inr : $b \rightarrow a \vee b$	Either evidence of A or evidence of B
$A \rightarrow B$	fun (h : A) => (... : B)	Given A , produce evidence of B
True	True.intro	Trivial evidence

Evidence

$A \wedge B$	And.intro : $a \rightarrow b \rightarrow a \wedge b$	Evidence of both A and B
$A \vee B$	Or.inl : $a \rightarrow a \vee b$ Or.inr : $b \rightarrow a \vee b$	Either evidence of A or evidence of B
$A \rightarrow B$	fun (h : A) => (... : B)	Given A , produce evidence of B
True	True.intro	Trivial evidence
False		No evidence at all!

Evidence

$A \wedge B$	And.intro : $a \rightarrow b \rightarrow a \wedge b$	Evidence of both A and B
$A \vee B$	Or.inl : $a \rightarrow a \vee b$ Or.inr : $b \rightarrow a \vee b$	Either evidence of A or evidence of B
$A \rightarrow B$	fun (h : A) => (... : B)	Given A , produce evidence of B
True	True.intro	Trivial evidence
False		No evidence at all!
$\neg A$	fun (h : A) => (... : False)	Given A , derive a contradiction

Evidence

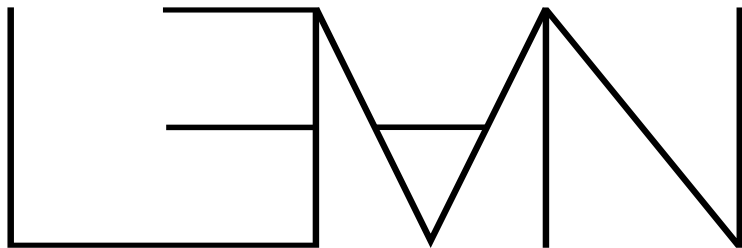
$\forall(x : A), P$ fun (x : A) =>
 (... : P) Provide evidence of
 P for **any** given
 x : A

$\exists(x : A), P$ Exists.intro :
 (w : A) → P w → Some w : A paired
 Exists A P with evidence of
 P[w/x]

Tactics

Writing evidence by hand is slow and error-prone - *tactics* are programs to automate this process.

Demo: Evidence and Tactics



Evidence.lean

More on proofs later!

Outline

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Dependent Types

Type Classes

42 + 23

3.4 + 19.33401

newYearsDay + fiveMinutes

What does + mean here?

Type Classes

"Hello, " ++ "world!"

[1, 2, 3] ++ [4, 5, 6]

#[1, 2, 3] ++ [4, 5, 6]

What about ++ here?

Type Classes

```
structure Add ( $\alpha$  : Type) where  
  add :  $\alpha \rightarrow \alpha \rightarrow \alpha$ 
```

```
def addAnything (impl : Add  $\alpha$ ) ( $x\ y$  :  $\alpha$ ) :  $\alpha$  :=  
  Add.add impl  $x\ y$ 
```

```
def implAddString : Add String where  
  add str1 str2 := str1 ++ str2
```

```
#eval addAnything implAddString "Hello, " "world"  
-- "Hello, world"
```

implAddString
describes how to
add strings

Type Classes

```
class Add ( $\alpha$  : Type) where  
  add :  $\alpha \rightarrow \alpha \rightarrow \alpha$ 
```

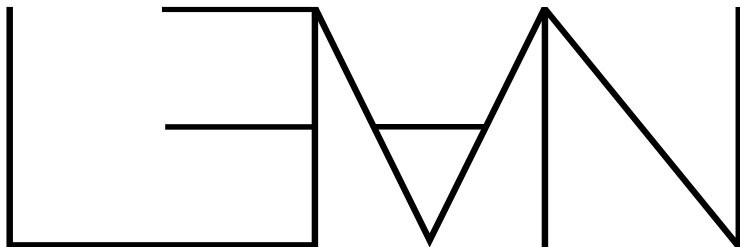
```
def addAnything [Add  $\alpha$ ] (x y :  $\alpha$ ) :  $\alpha$  :=  
  Add.add x y
```

```
instance : Add String where  
  add str1 str2 := str1 ++ str2
```

```
#eval addAnything "Hello, " "world"  
-- "Hello, world"
```

implementation found
automatically by Lean

Demo: Demo!



TypeClasses.lean

Outline

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Do-Notation

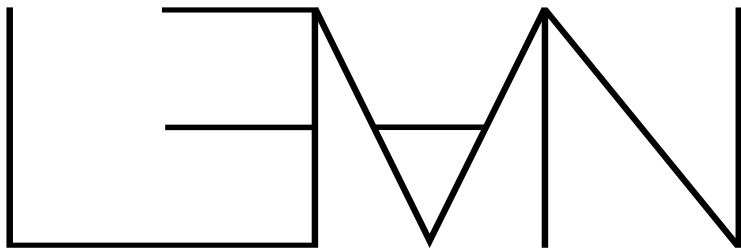
Dependent Types

Monads in Lean

Monads capture repeated patterns under a type constructor.

- ▶ Data dependencies and ordering
- ▶ Passing some external data around
- ▶ Error recovery
- ▶ Much more!

Demo: Example Monads



`Monads.lean`

Outline

Overview - 14/11

Syntax

UI

Programs and Proofs

Type Classes

Monads

Do-Notation

Dependent Types

Desugaring Do

do E_1  E_1

do E_1
 E_2  Monad.bind E_1 (fun () => E_2)

do let $x \leftarrow E_1$
 E_2  Monad.bind E_1 (fun x => E_2)

More Features

```
def sumArrayFrom [Add  $\alpha$ ]  
  (start :  $\alpha$ ) (arr : Array  $\alpha$ ) :  $\alpha$  := Id.run do  
  let mut sum := start  
  for x in arr do  
    sum := sum + x  
  return sum
```

```
def listProduct (xs : List Nat) : Nat := Id.run do  
  let mut prod := 0  
  for x in xs do  
    if x == 0 then  
      return 0  
    prod := prod * x  
  return prod
```

More Features

```
def sumArrayFrom [Add  $\alpha$ ]  
  (start :  $\alpha$ ) (arr : Array  $\alpha$ ) :  $\alpha$  := Id.run do  
  let mut sum := start  
  for x in arr do  
    sum := sum + x  
  return sum
```

```
def listProduct := Id.run do  
  let mut prod := 1  
  for x in xs do  
    if x == 0 then  
      return 0  
    prod := prod * x  
  return prod
```

Locally-mutable
variables

More Features

```
def sumArrayFrom [Add  $\alpha$ ]  
  (start :  $\alpha$ ) (arr : Array  $\alpha$ ) :  $\alpha$  := Id.run do  
  let mut sum := start  
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```

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def listProduct (xs : List  $\alpha$ ) :  $\alpha$  := Id.run do  
  let mut prod := 1  
  for x in xs do  
    if x == 0 then  
      return 0  
    prod := prod * x  
  return prod
```

Looping over data structures

More Features

```
def sumArrayFrom [Add  $\alpha$ ]  
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  return sum
```

```
def listProduct Elseless if := Id.run do  
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    if x == 0 then  
      return 0  
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```

More Features

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def sumArrayFrom [Add  $\alpha$ ]  
  (start :  $\alpha$ ) (arr : Array  $\alpha$ ) :  $\alpha$  := Id.run do  
  let mut sum := start  
  for x in arr do  
    sum := sum + x  
  return sum
```

```
def listProduct Early return := Id.run do  
  let mut prod := 0  
  for x in xs do  
    if x == 0 then  
      return 0  
    prod := prod * x  
  return prod
```

No Magic

```
def sumArrayFrom' [Add  $\alpha$ ]  
  (start :  $\alpha$ ) (arr : Array  $\alpha$ ) :  $\alpha$  := Id.run do  
  let mut sum := start  
  arr.forM (fun x => do sum := sum + x)  
  return sum
```


No Magic

```
def sumArrayFrom' [Add  $\alpha$ ]  
  (start :  $\alpha$ ) (arr : Array  $\alpha$ ) :  $\alpha$  := Id.run do  
  let mut sum := start  
  arr.forM (fun x => do sum := sum + x)  
  return sum
```

Mutation within same
do-block only

No Magic

```
def sumArrayFrom' [Add  $\alpha$ ]  
  (start :  $\alpha$ ) (arr : Array  $\alpha$ ) :  $\alpha$  := Id.run do  
  let mut sum := start  
  arr.forM (fun x => do sum := sum + x)  
  return sum
```

Mutation within same
do-block only

Loops	→	forM, with special encodings of break and continue
Mutable Variables	→	StateT
Early Return	→	ExceptT α α

Outline

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Dependent Types

Dependent Types

List String — A list of Strings

Dependent Types

List String — A list of Strings

Vec String 5 — A list of five Strings

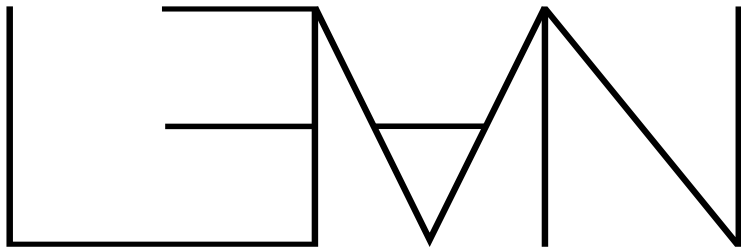
Dependent Types

List String — A list of Strings

Vec String 5 — A list of five Strings

Fin 5 — A number less than 5

Demo: Demo!



Vec.lean

Next time

Programming and Metaprogramming in Lean

- ▶ The standard library
- ▶ Run-time representations and memory management
- ▶ Proving termination
- ▶ Macros and Metaprogramming

Reading for Today

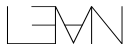
Functional Programming in Lean chapters 1–6 (“Getting to know Lean” through “Functors, Applicative Functors, and Monads”)

Thank you!

Happy to answer questions! I'm usually here on Fridays.

- ▶ `david@lean-fro.org`
- ▶ `https://davidchristiansen.dk`

Documentation and tutorials at: `https://lean-lang.org`



Outline

Overview - 14/11

Programming and Metaprogramming - 21/11

- Run-Time Representations and Memory Management

- Standard Library

- Proving Termination

- Notations, Macros and Metaprogramming

Foundations and Proofs - 28/11

Outline

Programming and Metaprogramming - 21/11

Run-Time Representations and Memory Management

Standard Library

Proving Termination

Notations, Macros and Metaprogramming

Run-Time Representations and Memory Management

Lean's cost model:

- ▶ Simple, predictable memory layout
- ▶ Overrides for performance-sensitive cases
- ▶ Memory management via reference counting
- ▶ Opportunistic mutation

Reference Counting vs Tracing GC

Tracing GC

- ▶ Accurately collect cycles
- ▶ Pause on allocation
- ▶ Requires global notion of roots
- ▶ Cheap allocation with “bump pointer”
- ▶ Complex implementation

Reference Counting

- ▶ Fails for cyclic data
- ▶ Pause on deallocation
- ▶ Local notion of roots
- ▶ malloc-like allocation
- ▶ Simple implementation

Reference Counting vs Tracing GC

Reference Counting

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Reference Counting vs Tracing GC

Reference Counting

- ▶ **Fails for cyclic data**
- ▶ Pause on deallocation
- ▶ Local notion of roots
- ▶ malloc-like allocation
- ▶ Simple implementation

Reference Counting vs Tracing GC

Reference Counting

- ▶ Fails for cyclic data
- ▶ **Pause on deallocation**
- ▶ Local notion of roots
- ▶ malloc-like allocation
- ▶ Simple implementation

Reference Counting vs Tracing GC

Reference Counting

- ▶ Fails for cyclic data
- ▶ Pause on deallocation
- ▶ Local notion of roots
- ▶ malloc-like allocation
- ▶ Simple implementation

Reference Counting vs Tracing GC

Reference Counting

- ▶ Fails for cyclic data
- ▶ Pause on deallocation
- ▶ Local notion of roots
- ▶ malloc-like allocation
- ▶ Simple implementation*

* Ignoring needed compiler optimizations

Reference Counting and Mutation

```
def List.map (f :  $\alpha \rightarrow \beta$ ) : List  $\alpha \rightarrow$  List  $\beta$   
  | .nil => .nil  
  | .cons x xs => .cons (f x) (map f xs)
```

Reference Counting and Mutation

```
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  | .nil => .nil  
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Decrementing
reference count

Reference Counting and Mutation

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```

Decrementing
reference count

Allocating a
cons cell

Reference Counting and Mutation

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  | .nil => .nil  
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```

Decrementing
reference count

Reuse the
input when
RC=0

Reference Counting and Mutation

```
def List.map (f :  $\alpha \rightarrow \beta$ ) : List  $\alpha \rightarrow$  List  $\beta$   
  | .nil => .nil  
  | .cons x xs => .cons (f x) (map f xs)
```

List.map opportunistically mutates the non-shared prefix of its argument, with no extra programmer work

Reference Counting: Consequences

- ▶ Good performance - competitive with OCaml
- ▶ Textbook algorithms require modifications to ensure memory reuse
- ▶ Ensuring linear use of data is important, but also nonlocal and noncompositional

Reference Counting: Consequences

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Further reading:

Counting Immutable Beans, Ullrich and de Moura (IFL '19)

Perceus: Garbage Free Reference Counting with Reuse, Reinking, Xie, de Moura and Leijen (PLDI '21)

Memory Layout

1. Erase all types
2. Erase all proofs
3. Argumentless constructors become constants
4. Do the “newtype” trick

Values are typically pointers to a 64-bit header and the remaining data

Memory Layout: List

```
inductive List ( $\alpha$  : Type  $u$ ) : Type  $u$  where  
  | nil  
  | cons :  $\alpha \rightarrow$  List  $\alpha \rightarrow$  List  $\alpha$ 
```

Memory Layout: List

```
inductive List ( $\alpha$  : Type  $u$ ) : Type  $u$  where  
  | nil  
  | cons :  $\alpha \rightarrow$  List  $\alpha \rightarrow$  List  $\alpha$ 
```

with implicit arguments...

```
inductive List.{ $u$ } ( $\alpha$  : Type  $u$ ) : Type  $u$  where  
  | nil.{ $u$ } : { $\alpha$  : Type  $u$ }  $\rightarrow$  List  $\alpha$   
  | cons.{ $u$ } : { $\alpha$  : Type  $u$ }  $\rightarrow$   $\alpha \rightarrow$  List  $\alpha \rightarrow$  List  $\alpha$ 
```

Memory Layout: List.cons

`cons.{u} : {α : Type u} → α → List α → List α`

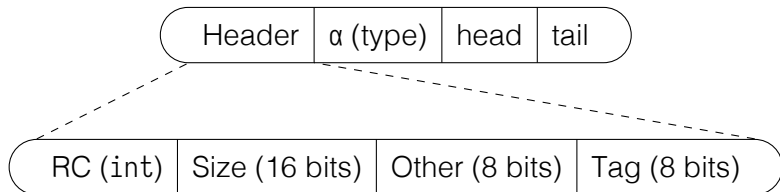
Memory Layout: List.cons

$\text{cons}\{u\} : \{\alpha : \text{Type } u\} \rightarrow \alpha \rightarrow \text{List } \alpha \rightarrow \text{List } \alpha$



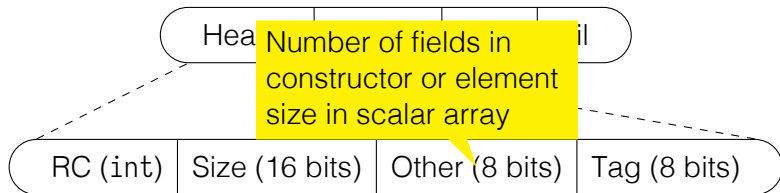
Memory Layout: List.cons

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Memory Layout: List.cons

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Memory Layout: List.cons

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Memory Layout: List.cons

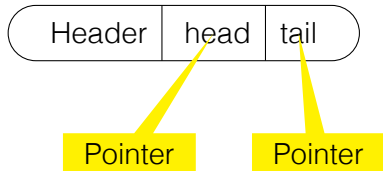
`cons.{u} : {α : Type u} → α → List α → List α`



Erase types

Memory Layout: List.cons

`cons.{u} : {α : Type u} → α → List α → List α`

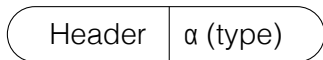


Memory Layout: List.nil

$\text{nil}\{u\} : \{\alpha : \text{Type } u\} \rightarrow \text{List } \alpha$

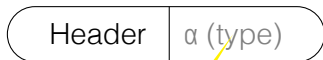
Memory Layout: List.nil

$\text{nil}\{u\} : \{\alpha : \text{Type } u\} \rightarrow \text{List } \alpha$



Memory Layout: List.nil

$\text{nil}\{u\} : \{\alpha : \text{Type } u\} \rightarrow \text{List } \alpha$



Erase types

Memory Layout: List.nil

`nil.{u} : {α : Type u} → List α`



Header

No fields!

Memory Layout: List.nil

$\text{nil}\{u\} : \{\alpha : \text{Type } u\} \rightarrow \text{List } \alpha$

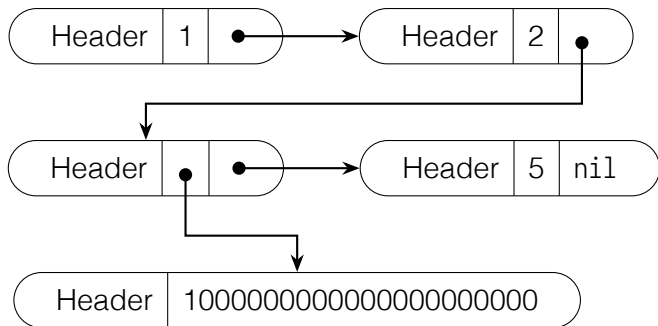
Immediate

Memory Layout: A List

[1, 2, 1000000000000000000000000, 5] : List Nat

Memory Layout: A List

[1, 2, 1000000000000000000000000, 5] : List Nat



Memory Layout: LList

```
structure LList (length : Nat) ( $\alpha$  : Type u) where  
  list : List  $\alpha$   
  hasLength : list.length = length
```

Memory Layout: LList

```
structure LList (length : Nat) ( $\alpha$  : Type u) where  
  list : List  $\alpha$   
  hasLength : list.length = length
```

desugars to...

```
inductive LList (length : Nat) ( $\alpha$  : Type u) where  
  | mk :  
    (list : List  $\alpha$ ) →  
    (hasLength : list.length = length) →  
    LList length  $\alpha$ 
```

Memory Layout: LList

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structure LList (length : Nat) ( $\alpha$  : Type  $u$ ) where  
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    LList length  $\alpha$ 
```

with implicit arguments...

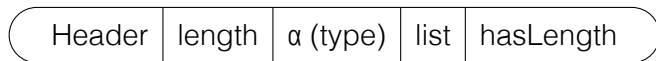
```
inductive LList (length : Nat) ( $\alpha$  : Type  $u$ ) where  
  | mk.{ $u$ } : { $\alpha$  : Type  $u$ }  $\rightarrow$   
    (list : List  $\alpha$ )  $\rightarrow$   
    (hasLength : list.length = length)  $\rightarrow$   
    LList length  $\alpha$ 
```

Memory Layout: LList.mk

```
mk.{u} : {length : Nat} → {α : Type u} →  
  (list : List α) →  
  (hasLength : list.length = length) →  
  LList length α
```

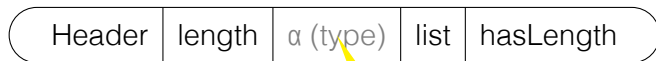
Memory Layout: LList.mk

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mk.{u} : {length : Nat} → {α : Type u} →  
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  (hasLength : list.length = length) →  
  LList length α
```



Memory Layout: LList.mk

```
mk.{u} : {length : Nat} → {α : Type u} →  
  (list : List α) →  
  (hasLength : list.length = length) →  
  LList length α
```



Erase types

Memory Layout: LList.mk

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mk.{u} : {length : Nat} → {α : Type u} →  
  (list : List α) →  
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```

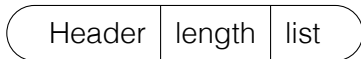


Erase types

Erase proofs

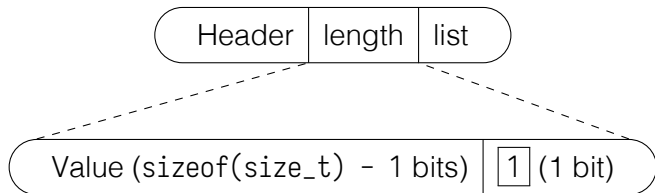
Memory Layout: LList.mk

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mk.{u} : {length : Nat} → {α : Type u} →  
  (list : List α) →  
  (hasLength : list.length = length) →  
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```



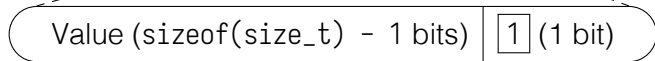
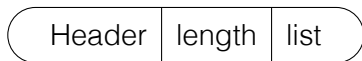
Memory Layout: LList.mk

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mk.{u} : {length : Nat} → {α : Type u} →  
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```

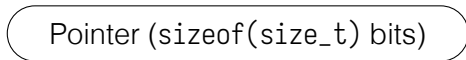


Memory Layout: LList.mk

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mk.{u} : {length : Nat} → {α : Type u} →  
  (list : List α) →  
  (hasLength : list.length = length) →  
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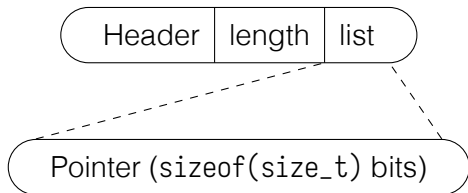


or



Memory Layout: LList.mk

```
mk.{u} : {length : Nat} → {α : Type u} →  
  (list : List α) →  
  (hasLength : list.length = length) →  
  LList length α
```



Memory Layout: Subtype

```
structure Subtype { $\alpha$  : Sort  $u$ } ( $p$  :  $\alpha \rightarrow$  Prop) where  
  val :  $\alpha$   
  property :  $p$  val
```

Memory Layout: Subtype

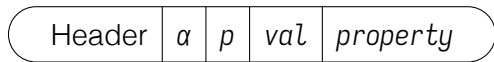
```
structure Subtype { $\alpha$  : Sort  $u$ } ( $p$  :  $\alpha \rightarrow$  Prop) where  
  val :  $\alpha$   
  property :  $p$  val
```

desugars to...

```
inductive Subtype.{ $u$ } { $\alpha$  : Sort  $u$ } ( $p$  :  $\alpha \rightarrow$  Prop) where  
  | mk.{ $u$ } :  
    { $\alpha$  : Sort  $u$ }  $\rightarrow$  { $p$  :  $\alpha \rightarrow$  Prop}  $\rightarrow$   
    (val :  $\alpha$ )  $\rightarrow$  (property :  $p$  val)  $\rightarrow$   
    @Subtype.{ $u$ }  $\alpha$   $p$ 
```

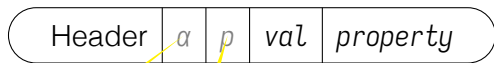

Memory Layout: Subtype.mk

```
mk.{u} :  
  {α : Sort u} → {p : α → Prop} →  
  (val : α) → (property : p val) →  
  @Subtype.{u} α p
```



Memory Layout: Subtype.mk

```
mk.{u} :  
  { $\alpha$  : Sort u}  $\rightarrow$  { $p$  :  $\alpha \rightarrow$  Prop}  $\rightarrow$   
  (val :  $\alpha$ )  $\rightarrow$  (property :  $p$  val)  $\rightarrow$   
  @Subtype.{u}  $\alpha$   $p$ 
```



Erase types

Erase types

Memory Layout: Subtype.mk

```
mk.{u} :  
  { $\alpha$  : Sort u}  $\rightarrow$  { $p$  :  $\alpha \rightarrow$  Prop}  $\rightarrow$   
  (val :  $\alpha$ )  $\rightarrow$  (property :  $p$  val)  $\rightarrow$   
  @Subtype.{u}  $\alpha$   $p$ 
```



Erase types

Erase types

Erase proofs

Memory Layout: Subtype.mk

```
mk.{u} :  
  {α : Sort u} → {p : α → Prop} →  
  (val : α) → (property : p val) →  
  @Subtype.{u} α p
```



Single
constructor,
single field

Memory Layout: Subtype.mk

```
mk.{u} :  
  {α : Sort u} → {p : α → Prop} →  
  (val : α) → (property : p val) →  
  @Subtype.{u} α p
```

val

No run-time overhead!

Special Types

```
inductive Nat where  
  | zero  
  | succ (n : Nat)
```

Special Types

inductive Nat where

| zero
| succ ($n : \text{Nat}$)

- ▶ Special-cased in kernel and compiler - immediate or GMP
- ▶ Logical model must coincide with Peano nats
- ▶ $O(n)$ addition is a non-starter

Special Types

```
inductive Nat where
```

```
| zero  
| succ (n : Nat)
```

- ▶ Special-cased in kernel and compiler - immediate or GMP
- ▶ Logical model must coincide with Peano nats
- ▶ $O(n)$ addition is a non-starter

```
@[extern "lean_nat_add"]
```

```
def Nat.add : (@& Nat) → (@& Nat) → Nat
```

```
| a, Nat.zero   => a  
| a, Nat.succ b => Nat.succ (Nat.add a b)
```


Overriding Functions

```
@[extern "lean_nat_add"]  
def Nat.add : (@& Nat) → (@& Nat) → Nat  
  | a, Nat.zero    => a  
  | a, Nat.succ b => Nat.succ (Nat.add a b)
```

Overriding Functions

```
@[extern "lean_nat_add"]
def Nat.add : (@& Nat) → (@& Nat) → Nat
  | a, Nat.zero   => a
  | a, Nat.succ b => Nat.succ (Nat.add a b)

lean_obj_res lean_nat_add(
  b_lean_obj_arg a1,
  b_lean_obj_arg a2
) {
  if (lean_is_scalar(a1) && lean_is_scalar(a2))
    return lean_usize_to_nat(
      lean_unbox(a1) + lean_unbox(a2)
    );
  else
    return lean_nat_big_add(a1, a2);
}
```

Overriding Functions

```
@[extern "lean_nat_add"]  
def Nat.add : (@& Nat) → (@& Nat) → Nat  
  | a, Nat.zero   => a  
  | a, Nat.succ b => Nat.succ (Nat.add a b)
```

```
lean_obj_res lean_nat_add(  
  b_lean_obj_arg a1,  
  b_lean_obj_arg a2  
) {  
  if (lean_is_scalar(a1) && lean_is_scalar(a2))  
    return lean_usize_to_nat(  
      lean_unbox(a1) + lean_unbox(a2)  
    );  
  else  
    return lean_nat_b  
}
```

Indicates "borrowed" calling convention - caller must consume/decrement RC

Overriding Functions

```
@[extern "lean_nat_add"]  
def Nat.add : (@& Nat) → (@& Nat) → Nat  
  | a, Nat.zero   => a  
  | a, Nat.succ b => Nat.succ (Nat.add a b)
```

Kernel sees
this

```
lean_obj_res lean_nat_add(  
  b_lean_obj_arg a1,  
  b_lean_obj_arg a2  
) {  
  if (lean_is_scalar(a1) && lean_is_scalar(a2))  
    return lean_usize_to_nat(  
      lean_unbox(a1) + lean_unbox(a2));  
  else  
    return lean_nat_big_add(a1, a2);  
}
```

Running
programs see
this

Arrays

```
structure Array ( $\alpha$  : Type  $u$ ) where
```

```
  mk ::
```

```
  data : List  $\alpha$ 
```

```
attribute [extern "lean_array_data"] Array.data
```

```
attribute [extern "lean_array_mk"] Array.mk
```

Arrays

```
structure Array ( $\alpha$  : Type  $u$ ) where
  mk ::
  data : List  $\alpha$ 

attribute [extern "lean_array_data"] Array.data
attribute [extern "lean_array_mk"] Array.mk
```

Logically: a thin wrapper around a list

In programs: $O(n)$ conversions to/from packed arrays

Array Updates

```
def List.set : List  $\alpha$   $\rightarrow$  Nat  $\rightarrow$   $\alpha$   $\rightarrow$  List  $\alpha$ 
  | .cons _ as, 0, b => .cons b as
  | .cons a as, n+1, b => .cons a (set as n b)
  | .nil, _, _ => .nil
```

Array Updates

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  | .cons a as, n+1, b => .cons a (set as n b)
  | .nil, _, _ => .nil

@[extern "lean_array_fset"]
def Array.set ( $\alpha$  : Array  $\alpha$ )
  ( $i$  : @& Fin  $a$ .size) ( $v$  :  $\alpha$ ) : Array  $\alpha$  where
  data :=  $a$ .data.set  $i$ .val  $v$ 
```


Array Updates

```
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```

lean_array_fset **mutates** the array when there is precisely one reference

Special Types

Nat	Linked list	Immediate or GMP
Int	Nat with sign	Immediate or GMP
Array	Linked List	Dynamic array (a la <code>std::vec</code>)
String	List of Char	Packed array of bytes (UTF-8)
UInt8–UInt64	Fin	Immediate

Nat is special in the kernel - all others only in programs

Outline

Programming and Metaprogramming - 21/11

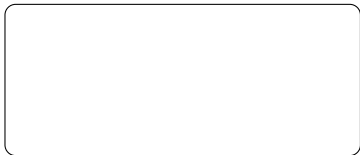
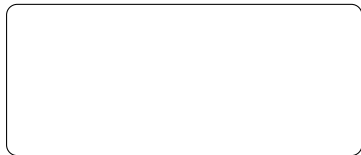
Run-Time Representations and Memory Management

Standard Library

Proving Termination

Notations, Macros and Metaprogramming

Standard Library



Standard Library

Data structures

Standard Library

Data structures

Proofs

Standard Library

Data structures

Proofs

Language features

Standard Library

Data structures

Proofs

Language features

Automation

Useful Tools

- ▶ **#guard_msgs** - run a Lean command, and check that the output is what's expected
- ▶ `List.attach` - replace ℓ with `Subtype (fun x => x ∈ ℓ)`
- ▶ Linters for documentation, lemmas, etc
- ▶ Tactics
- ▶ Soon: Omega

Under Construction!

<https://github.com/leanprover/std4>

Priorities:

- ▶ Proof automation (Sledgehammer, etc)
- ▶ Data structures and associated lemmas

Outline

Programming and Metaprogramming - 21/11

Run-Time Representations and Memory Management

Standard Library

Proving Termination

Notations, Macros and Metaprogramming

Why Termination?

$\text{fix} : (\alpha \rightarrow \alpha) \rightarrow \alpha$

As a program, **general recursion**

As a reasoning principle, **a circular argument**

Red Herrings

- ▶ Termination of type checking - in practice, we are not infinitely patient, and many terminating programs may run for decades or centuries

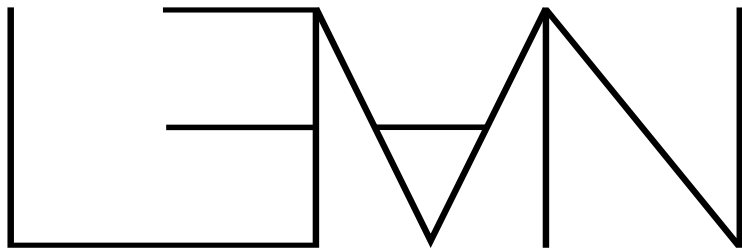
Red Herrings

- ▶ Termination of type checking - in practice, we are not infinitely patient, and many terminating programs may run for decades or centuries
- ▶ Decidability of type checking - many useful systems are nonetheless undecidable (e.g. GHC, Scala, ...)

Proving Termination

- ▶ *Structural recursion* elaborates to eliminators
- ▶ Other recursion uses well-founded relations

Demo: Termination



Termination.lean

Well-Founded Recursion

```
def f ... (x : A) ... : T :=  
  ... (f e1) ... (f e2) ...  
termination_by  
  f ... x ... => m x
```

What this means:

1. If $m\ x : U$, resolve type class `WellFoundedRelation U`
2. For each recursive call $f\ e$, prove $e < x$ w.r.t. `WellFoundedRelation.r` using a default tactic
3. Elaborate to `WellFounded.fix`

Caveat

`WellFounded.fix` is noncomputable

- ▶ Compiler generates recursive code directly
- ▶ Elaborator (lazily) proves each defining equation of the function

Alternatives

Partial functions don't require termination proofs:

```
partial def interact (n : Nat) : IO Unit := do  
  let val ← askUser n  
  if val = 0 then return ()  
  interact val
```

The code is compiled, but Lean's logic sees an opaque constant.

Alternatives

Partial functions don't require termination proofs:

```
partial def interact (n : Nat) : IO Unit := do  
  let val ← askUser n  
  if val = 0 then return ()  
  interact val
```

The code is compiled, but Lean's logic sees an opaque constant.

Requirements:

- ▶ Return type is inhabited
- ▶ Non non-function partial values

Alternatives, part 2

unsafe functions may use unrestricted general recursion, call the FFI, or use unsafe casts

unsafe is “infectious” - use `@[implemented_by f]` to have compiled code use `(unsafe) f`

Outline

Programming and Metaprogramming - 21/11

Run-Time Representations and Memory Management

Standard Library

Proving Termination

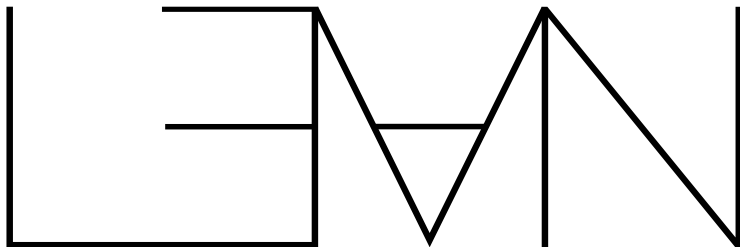
Notations, Macros and Metaprogramming

Notations

Lean code should resemble mathematical syntax when possible

Notations simultaneously extend the parser and provide an interpretation into existing syntax

Demo: Notations



Metaprogramming.lean

Syntax

```
inductive Syntax where  
  | missing  
  | node (info : SourceInfo) (kind : SyntaxNodeKind)  
    (args : Array Syntax)  
  | atom (info : SourceInfo) (val : String)  
  | ident (info : SourceInfo)  
    (rawVal : Substring) (val : Name)  
    (preresolved : List Syntax.Preresolved)
```

Syntax

Parse error

```
inductive Syntax where  
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Literal number or
string

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```

Identifier

Literal number or
string

Macros

Macros allow arbitrary analysis of input syntax to produce output syntax

macro : Syntax \rightarrow MacroM Syntax

```
macro "if" e:term "then" t:term "else" f:term =>  
  `(ite $e (fun () => $t) (fun () => $f))
```

Quasiquotation

``($e + 2)`

Constructs a syntax tree for the expression, evaluating e as usual.

Quasiquotation

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`($e + 2)
```

Constructs a syntax tree for the expression, evaluating e as usual.

```
do let info ← Lean.MonadRef.mkInfoFromRefPos
    let scp ← Lean.getCurrMacroScope
    let mainModule ← Lean.getMainModule
    pure ⟨Lean.Syntax.node3 info
        `term_+_ e.raw (Lean.Syntax.atom info "+")
        (Lean.Syntax.node1 info `num
            (Lean.Syntax.atom info "2"))⟩
```


Hygiene

Quotation is *monadic* to avoid capture:

```
def x := 5
```

```
macro_rules
```

```
| `(myMacro $e) =>
```

```
  `(let x := 4; x + $e) : MacroM Syntax
```

```
#eval myMacro x
```

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A *scope* is attached
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The scope is not
added to splices

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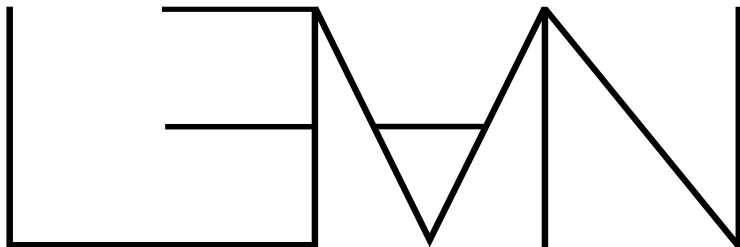
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```

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  `(let x := 4; x + $e) : MacroM Syntax
```

```
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```

MacroM ensures that macro scopes are kept unique, so each act of quotation cannot interfere with others

Demo: Macros



Metaprogramming.lean

Other Metaprogramming Features

- ▶ *Elaborators* translate syntax into Lean's core language
- ▶ *Custom tactics* allow custom proof automation (more next week)
- ▶ *Language server extensions* allow custom IDE features (e.g. outline view, code actions)

Next time

Foundations and Proofs

- ▶ Lean's type theory
- ▶ Writing proofs
- ▶ Proof automation

Reading for Today

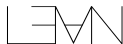
- ▶ *Functional Programming in Lean* chapters 7–10 (“Monad Transformers” through “Programming, Proving, and Performance”)
- ▶ *Counting Immutable Beans* by Ullrich and de Moura describes Lean’s memory management
- ▶ *Beyond Notations* by Ullrich and de Moura describes Lean’s metaprogramming features

Thank you!

Happy to answer questions! I'm usually here on Fridays.

- ▶ `david@lean-fro.org`
- ▶ `https://davidchristiansen.dk`

Documentation and tutorials at: `https://lean-lang.org`



Outline

Overview - 14/11

Programming and Metaprogramming - 21/11

Foundations and Proofs - 28/11

- An Example Proof

- Lean's Type Theory

- Proof Ecosystem

Tactics and Proofs

Tactics are metaprograms that construct proof terms

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Tactics and Proofs

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Tactics: Syntax \rightarrow TacticM Unit

Outline

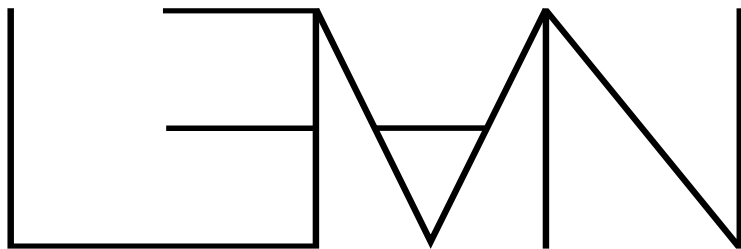
Foundations and Proofs - 28/11

An Example Proof

Lean's Type Theory

Proof Ecosystem

Demo: Proofs



Quotients.lean

Proofs and Tactics

- ▶ Lean tactics have *hygiene*
- ▶ New tactics definable as macros or directly
- ▶ Freely intermix term and tactic mode proofs

Outline

Foundations and Proofs - 28/11

An Example Proof

Lean's Type Theory

Proof Ecosystem

Foundations and Culture

- ▶ Lean co-evolved with a classical community
- ▶ Wholehearted embrace of classical reasoning
- ▶ Proof automation prioritized over metatheoretic elegance

Lean's Type Theory

A variant of Coq's CIC with:

Recursion via Eliminators

plus :=

$\lambda n .$

$\mathbb{N}.\text{rec}$

$(\lambda _ . \mathbb{N} \rightarrow \mathbb{N})$

$(\lambda k . k)$

$(\lambda _ . \lambda f . \lambda k . f(\text{succ } k))$

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Definitional Proof Irrelevance

$$\frac{P : \mathbb{P} \quad p_1 : P \quad p_2 : P}{p_1 \equiv p_2}$$

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Definitional Proof Irrelevance

Quotients with Reduction

$$\frac{P : \mathbb{P} \quad p_1 : P \quad p_2 : P}{p_1 \equiv p_2}$$

$\text{lift}_R \beta f h (\text{mk}_R a) \rightsquigarrow f a$

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Can't support
HoTT

Definitional Proof Irrelevance

Quotients with Reduction

$$\frac{P : \mathbb{P} \quad p_1 : P \quad p_2 : P}{p_1 \equiv p_2}$$

$\text{lift}_R \beta f h (\text{mk}_R a) \rightsquigarrow f a$

Not Present

- ▶ Induction-recursion
- ▶ Coinductive types
- ▶ Higher-dimensional structure
- ▶ Sized types
- ▶ Kernel options like `--without-k` or HoTT

Standard Axioms

propext : $\forall A, B : \mathbb{P} . A \leftrightarrow B \rightarrow A = B$

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propext : $\forall A, B : \mathbb{P} . A \leftrightarrow B \rightarrow A = B$

choice : $\forall \alpha : \mathbb{U}_U . \text{nonempty } \alpha \rightarrow \alpha$

Quotients

Axioms:

$$\alpha/R : U_u$$

$$\text{mk}_R : \alpha \rightarrow \alpha/R$$

$$\text{sound}_R : \forall x y : \alpha. R x y \rightarrow \text{mk}_R x = \text{mk}_R y$$

$$\text{lift}_R : \forall \beta : U_v.$$

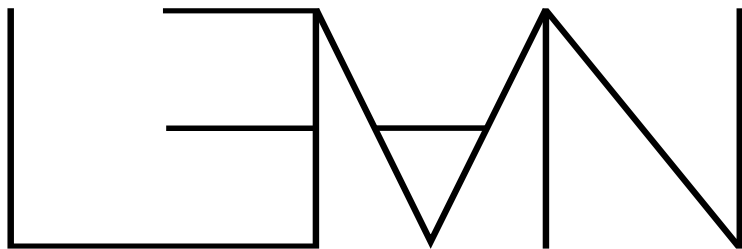
$$\forall f : \alpha \rightarrow \beta.$$

$$(\forall x y : \alpha. R x y \rightarrow f x = f y) \rightarrow \\ \alpha/R \rightarrow \beta$$

Computation:

$$\text{lift}_R \beta f h (\text{mk}_R a) \rightsquigarrow f a$$

Demo: Quotients



Quotients.lean

Theorem: Function Extensionality

Let $f \sim g = \forall x. f x = g x$.

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Define “extensional application”:

$$f \$ x := \text{lift}_{\sim}(\beta x)(\lambda g . g x)$$

(which trivially respects \sim)

Theorem: Function Extensionality

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Define “extensional application”:

$$f \$ x := \text{lift}_{\sim}(\beta x)(\lambda g . g x)$$

(which trivially respects \sim)

Definitionally:

$$\begin{aligned} f &\equiv \lambda x . f x && \eta \\ &\equiv \lambda x . \text{mk}_{\sim} f \$ x && \text{Computation rule for lift} \\ &\equiv \text{mk}_{\sim} f \$ && \eta \end{aligned}$$

Theorem: Function Extensionality

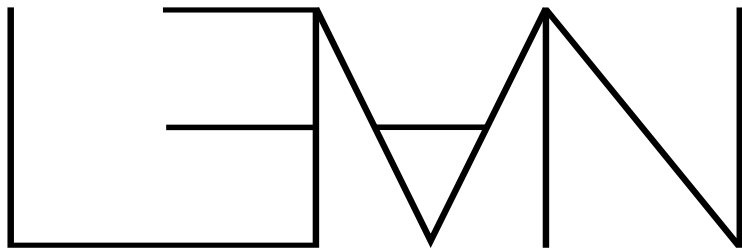
To show $f = g$, we can show $\text{mk}_{\sim} f \$ = \text{mk}_{\sim} g \$$.

We have:

$$\text{sound}_{\sim} : f \sim g \rightarrow \text{mk}_{\sim} f = \text{mk}_{\sim} g$$

Thus, we can show $\text{mk}_{\sim} f \$ = \text{mk}_{\sim} f \$$, which is true by reflexivity.

Demo: Function Extensionality



Funext.lean

Metatheory

We have:

- ▶ Consistency
- ▶ Unique typing

But:

- ▶ No normalization
- ▶ Undecidable definitional equality
- ▶ No subject reduction

Sources of Undecidability

- ▶ Proof irrelevance and subsingleton elimination (e.g. Acc)
- ▶ Proof irrelevance and imprecativity
- ▶ Quotients of propositions

Sources of Undecidability

- ▶ Proof irrelevance and subsingleton elimination (e.g. Acc)
- ▶ **Proof irrelevance and imprecativity**
- ▶ Quotients of propositions

Proof Irrelevance and Impredicativity

- ▶ Impredicativity and definitional proof irrelevance imply failure of normalization in an inconsistent context
- ▶ Impredicativity, definitional proof irrelevance, and propext also imply failure of normalization

See: *Failure of Normalization in Impredicative Type Theory With Proof-Irrelevant Propositional Equality*, Abel and Coquand (LMCS 16(2), 2020)

Definitional Equality

Split between undecidable “ideal” $\Gamma \vdash e \equiv e'$ and
“implemented” $\Gamma \vdash e \Leftrightarrow e'$

Outline

Foundations and Proofs - 28/11

An Example Proof

Lean's Type Theory

Proof Ecosystem

Mathlib

Aesop

Other Tools

Mathlib

More than 1,000,000 lines of Lean, formalizing lots of math

Basis for complicated work like Scholze's liquid tensor challenge and the sphere eversion project

<https://github.com/leanprover-community/mathlib4>



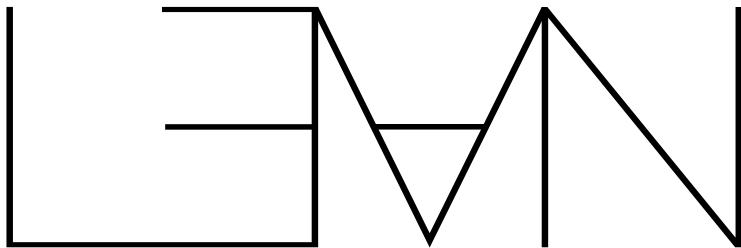
Aesop

Automated **E**xtensible **S**earch for **O**bvious **P**roofs

Recursively and efficiently applies a large, extensible set of rules to dispatch proof goals

Aesop: White-Box Best-First Proof Search for Lean, Limperg and From (CPP '23)

Demo: Aesop



Aesop.lean

Other Proof Tools

- ▶ Lean Auto - use existing automated provers and extract Lean proofs when possible
- ▶ Duper - a superposition prover build on top of Auto
- ▶ Loogle - search the Lean libraries by name or type
- ▶ Moogle - LLM-powered natural language theorem search

Reading for Today

- ▶ *The Type Theory of Lean* by Carneiro describes Lean's core theory
- ▶ *An Extensible Theorem Proving Frontend* by Ullrich, section 3.2, which describes later updates to the theory
- ▶ *Aesop: White-Box Best-First Proof Search for Lean* by Limperg and From describes Aesop

Thank you!

Happy to answer questions! I'm usually here on Fridays. Talk to Rasmus or Marco about PhD course credit.

- ▶ `david@lean-fro.org`
- ▶ `https://davidchristiansen.dk`

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